

# Request for Compensation

In accordance with GCC Clause 53.2 and 53.3 <sup>1</sup>

Date of Event Occurred, Claim Notice Date, Date of Event Effect Ended, Applicable Contract Clause, List of Correspondences, List of Contemporary Records and list of Related Documents for Substantiation

Claim Code No.	Date of Event Occurred	Notice of Claim		Date of Event Effect Ended	Applicable FIDIC Clause	List of Correspondences				List of Contemporary Records		List of Related Documents for Substantiation	
		Date	Ref. No.			LC No.	Date	Ref. No.	Subject	CR No.	Description	DS No.	Description
C1	Issues Affecting Whole of Site and Causing Delay to Project Completion												
CC.01	Deferred Commencement of Project and Related Matters												
CC.01	01-Oct-00	06-Jan-01	ENG-01-012	01-May-01	44.1	LC-01	02-Oct-00	HVC-030-00	Possession of Site			DS-01	Photographs
CC.01						LC-02	17-Nov-00	PKIA-048-00	Site Handing-Over and Commencement of the Works				
CC.01						LC-03	06-Jan-01	ENG-01-012	Notice of Delay 001 and 002				
CC.01						LC-04	09-Jan-01	PKIA-009-01	Notice of Delay No. 001				
CC.01						LC-05	10-Jan-01	PKIA-012-01	Notice of Delay No. 001 and 002				
CC.01						LC-06	12-Jan-01	ENG-01-020	Notice of Delay 001 and 002				
CC.01						LC-07	03-Feb-01	ENG-01-045	Delay in the Open Works				
CC.01						LC-08	06-Feb-01	PKIA-026-01	Notice of Delay No. 001 and 002				
CC.01						LC-09	17-Feb-01	ENG-01-074	Railway Crossing				
CC.01						LC-10	27-Feb-01	ENG-01-087	Report on Delay in the Progress of Open Works				
CC.01						LC-11	03-Dec-01	PMU-304-01	Comments on PKIA Contractor's Report on Time Extension				
CC.02	Non-Contributing Production Resources Affected by Delay												
CC.02	01-Oct-00	12-Feb-04	ENG-04-027	01-May-01	51.1c							DS-02	Daily Progress Report & Day Diagram
CC.02					51.1e								
CC.02					51.1f								
CC.02					52.1								
C2	Issues Resulting in Delay to Ventilation Adit												
CC.03	Adverse Water Conditions												
CC.03	17-Oct-01	18-Oct-01	ENG-01-342	22-Mar-03	12.2	LC-12	04-Oct-01	PKIA-253-01	Approval of Tunnel Dewatering Measurement System	CR-01	North Portal Monthly Record of Water Discharge	DS-03	Photographs
CC.03					44.1a	LC-13	18-Oct-01	ENG-01-342	Notification of Water Hardship at the Ventilation Adit	CR-02	Adit Portal Monthly Record of Water Discharge		
CC.03					51.1c	LC-14	28-Nov-01	ENG-01-363	Notification of Water Hardship at the Ventilation Adit				
CC.03					52.1	LC-15	01-Dec-01	ENG-01-364	Primary Water Control System				
CC.03						LC-16	04-Dec-01	ENG-01-365	Request for Approval on Water Hardship Situation Measurement System				
CC.03						LC-17	08-Dec-01	PKIA-289-01	Water Hardship Situation Measurement System				
CC.03						LC-18	12-Jan-02	ENG-02-013	Notification of Water Hardship at the Ventilation Adit				
CC.03						LC-19	23-Jan-02	ENG-02-024	Anomalous Water Seepage at Ventilation Adit				
CC.03						LC-20	07-Mar-02	PKIA-038-02	Water Inflow at Ventilation Adit				
CC.03						LC-21	19-Mar-02	ENG-02-053	Dewatering System in Ventilation Adit				
CC.03						LC-22	30-Mar-02	ENG-02-056	Report on Anomalous Water Seepage in VA				
CC.03						LC-23	02-Apr-02	ENG-02-062	Report on Continuous Anomalous Water Seepage in Ventilation Adit				
CC.03						LC-24	14-Apr-02	EMP-02-008	Excavation under Water Hardship Situations				
CC.03						LC-25	07-May-02	PMU-146-02	Excavation under Water Hardship				
CC.03						LC-26	14-May-02	PKIA-062-02	Joint Meeting for Water Hardship				
CC.03						LC-27	01-Jun-02	PMU-222-02	Water Intrusion Measurement in Ventilation Adit				

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Claim Code No.	Date of Event Occurred	Notice of Claim		Date of Event Effect Ended	Applicable FIDIC Clause	List of Correspondences				List of Contemporary Records		List of Related Documents for Substantiation	
		Date	Ref. No.			LC No.	Date	Ref. No.	Subject	CR No.	Description	DS No.	Description
CC.03						LC-28	28-Jun-02	ENG-02-124	Ventilation Adit Reaching 1000m				
CC.03						LC-29	23-Jul-02	PMU-249-02	Appraisal of Water hardship in Ventilation Adit				
CC.03						LC-30	05-Oct-02	ENG-02-177	Water Seepage in Ventilation Adit				
CC.03						LC-31	02-Nov-02	PKIA-135-02	Progress of Ventilation Adit Excavation				
CC.03						LC-32	11-Apr-03	ENG-03-062	Notice on the Commencement of VA Post Excavation Works				
CC.03						LC-33	15-Apr-03	ENG-03-064	Entitlement of EOT for VA Works				
CC.03						LC-34	14-Aug-03	ENG-03-186	Request for Approval for Dewatering Works during Construction				
CC.03						LC-35	20-Aug-03	ENG-03-191	Request for Extension of Time for VA				
CC.03						LC-36	29-Aug-03	PKIA-119-03	Claim for Extension of Time for VA				
CC.03						LC-37	27-Sep-03	ENG-03-218	Request for Extension of Time for VA				
<b>CC.04</b>	<b>Time for Additional Excavation due to 144m Extension</b>												
CC.04	20-Dec-02	15-Apr-03	ENG-03-064	25-Jan-03	44.1a	LC-38	18-Nov-02	PKIA-148-02	Issue of Updated Drawings Related to Modifications of Ventilation Facilities	CR-03	Daily Progress Report & Day Diagram		
<b>CC.05</b>	<b>Time for Additional Concrete Lining due to 144m Extension</b>												
CC.05	18-Jun-03	15-Apr-03	ENG-03-064	23-Jul-03	44.1a	LC-39	18-Nov-02	PKIA-148-02	Issue of Updated Drawings Related to Modifications of Ventilation Facilities	CR-04	Daily Progress Report & Day Diagram		
<b>CC.06</b>	<b>Time for Relocation of Junction Works due to 144m Extension</b>												
CC.06	25-Jan-03	15-Apr-03	ENG-03-064	22-Mar-03	44.1a	LC-40	18-Nov-02	PKIA-148-02	Issue of Updated Drawings Related to Modifications of Ventilation Facilities	CR-05	Daily Progress Report & Day Diagram		
<b>CC.07</b>	<b>Unit Rates not Applicable to 144m Extension</b>												
CC.07	20-Dec-02	15-Apr-03	ENG-03-064	23-Jul-03	52.1								
<b>CC.08</b>	<b>Provision of Additional Ventilation Fans due to Excessive Heat</b>												
CC.08	06-Feb-03	15-Apr-03	ENG-03-064	16-May-03	51.1e	LC-41	19-Feb-03	PKIA-017-03	Ventilation of Tunnel Works	CR-06	Daily Progress Report & Day Diagram	DS-04	Photographs
CC.08					52.1	LC-42	11-Mar-03	PKIA-028-03	Temporary Ventilation System for Ventilation Adit	CR-07	Dec-02 to May-03 Monthly Report (Temperature Readings)	DS-05	Copy of Economy Contract (Rental of Ventilation Fan)
CC.08						LC-43	28-Mar-03	PKIA-039-03	Temporary Ventilation System for Ventilation Adit				
<b>CC.09</b>	<b>Non-Contributing Production Resources Affected by Delay</b>												
CC.09	03-Jul-01	12-Feb-04	ENG-04-027	22-Mar-03	51.1c					CR-08	Daily Progress Report & Day Diagram		
CC.09					51.1e								
CC.09					51.1f								
CC.09					52.1								
<b>C3</b>	<b>Issues Causing Delay to Main Tunnel and Project Completion</b>												
<b>CC.10</b>	<b>North Portal + 72m</b>												
CC.10	14-May-01	27-Sep-01	ENG-01-331	15-Sep-01	44.1a	LC-44	02-Jun-01	PKIA-180-01	Delay of Tunnel Excavation	CR-09	Daily Progress Report & Day Diagram	DS-06	Photographs
CC.10					51.1c	LC-45	14-Jul-01	ENG-01-271	Report on Collapse of Soil at Main Tunnel				
CC.10					51.1e	LC-46			DELETED				
CC.10					52.1	LC-47	25-Jul-01	ENG-01-284	Report on Delay in Progress of Works				
CC.10						LC-48	04-Aug-01	ENG-01-292	Report on Delay & Availability of Night Shift				
CC.10						LC-49	07-Sep-01	ENG-01-320	Report on Collapse of Weathered Rock at MT				

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		Date	Ref. No.			LC No.	Date	Ref. No.	Subject	CR No.	Description	DS No.	Description
CC-10						LC-50	13-Sep-01	ENG-01-322	Report on Minor Collapse of Weathered Rock at MT				
CC-10						LC-51	27-Sep-01	ENG-01-331	Request for EOT for Completion of the Works in Connection with the Initial Stage of Tunnel Excavation				
CC-10						LC-52	16-Sep-02	ENG-02-169	Revised Construction Schedule and Report on Delay at the Initial Stage of Excavation in Main Tunnel				
<b>CC-11</b>	<b>Rockbolts in Rock Support Type I Conditions</b>												
CC-11	21-Sep-01	12-Feb-04	ENG-04-027	30-Nov-03	44.1a					CR-10	Records of Rockbolting in Rock Support Type I in MT (10 Dec-01 to 02 Oct-03)		
CC-11					51.1e					CR-11	Records of Rockbolting in Rock Support Type I in VA (24 Sep-01 to 01 Jun-03)		
CC-11					52.1					CR-12	Records of Rockbolting in Rock Support Type I in ET (04 Oct-01 to 19 Mar-03)		
CC-11										CR-13	Records of Rockbolting in Rock Support Type II in ET (21 Sep-01 to 02 Apr-03)		
CC-11										CR-14	Day Diagram and Daily Progress of Rockbolting in Rock Support Type I in MT (10 Dec-01 to 02 Oct-03)		
CC-11										CR-15	Day Diagram and Daily Progress of Rockbolting in Rock Support Type I in VA (24 Sep-01 to 01 Jun-03)		
CC-11										CR-16	Day Diagram and Daily Progress of Rockbolting in Rock Support Type I in ET (04 Oct-01 to 19 Mar-03)		
CC-11										CR-17	Day Diagram and Daily Progress of Rockbolting in Rock Support Type II in ET (21 Sep-01 to 02 Apr-03)		
<b>CC-12-17</b>	<b>ROCK HARDNESS</b>												
					12.2					CR-18	Uniaxial Compression Test on Rocks (Japan, 29 Sep-03)	DS-07	Photographs
					44.1a					CR-19	Uniaxial Compression Test on Rocks (Da Nang, 29 Dec-03))		
					51.1c					CR-20	Uniaxial Compression Test on Rocks (Da Nang, 02 Apr-04)		
					51.1e								
					52.1								
<b>CC-12</b>	<b>Additional Drilling and Reduced Advance due to Rock Hardness</b>												
CC-12	14-Sep-01	12-Feb-04	ENG-04-027	30-Nov-03	44.1a					CR-21	Drilling Record Summary for Rock Support Type I in Main Tunnel (10 Dec-01 to 28 Oct-03)		
CC-12										CR-22	Drilling Record Summary for Rock Support Type II in Main Tunnel (19 Oct-01 to 06 Mar-03)		
CC-12										CR-23	Drilling Record Summary for Rock Support Type I in Evacuation Tunnel (04 Oct-01 to 31 Oct-03)		
CC-12										CR-24	Drilling Record Summary for Rock Support Type II in Evacuation Tunnel (14 Sep-01 to 02 Apr-03)		
CC-12										CR-25	Drilling Record Summary for Rock Support Type I in Ventilation Adit (19 Sep-01 to 22 Mar-03)		

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		Date	Ref. No.			LC No.	Date	Ref. No.	Subject	CR No.	Description	DS No.	Description
CC.12										CR-26	Drilling Record Summary for Rock Support Type I in Ventilation Adit (31 Aug-01 to 13 Nov-02)		
CC.13	Overbreak due Rock Hardness												
CC.13	20-Oct-01	12-Feb-04	ENG-04-027	30-Nov-03	12.2, 51.1e, 52.1	LC-53	09-Aug-01	ENG-01-314	Proposal for Contractor's Overbreak for Workable Reasons	CR-27	Detailed Calculation of Overbreak Volume	DS-08	Photographs
CC.13					44.1a	LC-54	18-Oct-01	PKIA-259-01	Contractor's Overbreak for Workable Reason (Up)	CR-28	Profile Data for Tunnel Cross-Sections		
CC.14	Increase in Bulking Factor												
C.14	20-Oct-01	12-Feb-04	ENG-04-027	30-Nov-03	44.1a							DS-09	Photographs
CC.15	Additional Volume of Concrete Lining, Leveling Concrete, Aggregate Fill, and Additional Area for Waterproofing due to Overbreak												
CC.15	22-Jan-02	12-Feb-04	ENG-04-027	31-Mar-04	51.1e, 52.1							DS-10	Photographs
CC.16	Increase in Repair and Maintenance + Increase in Blasting Materials												
CC.16	14-May-01	12-Feb-04	ENG-04-027	30-Nov-03	51.1e, 52.1								
CC.17	Additional Shotcrete due to Overbreak												
CC.17	14-May-01	12-Feb-04	ENG-04-027	30-Nov-03	51.1e, 52.1							DS-11	Payment Certificate to Sub-Contractor
CC.18	Additional Layby at Relocated EP1												
CC.18	27-Dec-02	01-Oct-02	ENG-02-173	06-Jan-03	51.1e	LC-55	23-Aug-02	ENG-02-154	Notice to Commence EP1 Excavation Works	CR-29	Daily Progress Report & Day Diagram (27 Dec-02 to 06 Jan-03)		
CC.18					52.1	LC-56	24-Aug-02	PKIA-098-02	Position Shift of EP Tunnel 1	CR-30	Daily Progress Report & Day Diagram (18 Dec-02 to 25 Dec-02)		
CC.18						LC-57	01-Oct-02	ENG-02-173	Request for Information on Electrostatic Precipitator 1				
CC.18						LC-58	18-Nov-02	PKIA-148-02	Issue of Updated Drawings Related to Modifications of Ventilation Facilities				
CC.18						LC-59	14-Dec-02	ENG-02-216	Proposal for EP1 Location				
CC.18						LC-60	21-Jan-03	PKIA-010-03	Approval on Shift Location of Electrostatic Precipitator 1				
CC.19	Remedial Works for Excess Geological Deformation												
CC.19	05-Oct-02	08-Oct-02	ENG-02-180	23-May-03	44.1a	LC-61	08-Oct-02	ENG-02-180	Report on Shotcrete Falldown in Main Tunnel	CR-31	Advance per Round Form	DS-12	Photographs
CC.19					44.1e	LC-62	29-Oct-02	ENG-02-187	Report on Unforeseen Deformation in Main Tunnel	CR-32	Rockbolt Installation Inspection Sheets		
CC.19						LC-63	02-Nov-02	ENG-02-191	Report on Collapse of Shotcrete in Main Tunnel				
CC.19						LC-64	19-Nov-02	ENG-02-205	Site Visit of National Taking-over and National Committee (Report on Technical Obstacles)				
CC.19						LC-65	22-May-03	ENG-03-110	Report on Shotcrete Deformation in Main Tunnel				
CC.20	Impact of Adit Delay on Main Tunnel Progress												
CC.20	19-Jan-02	12-Feb-04	ENG-04-027	22-Mar-03	44.1a					CR-33	Records of Main Tunnel Advance (Jan-03 to Jun-03)		
CC.20					44.1e					CR-34	Advance per Round Form		
CC.21	Provision of Temporary Cross Passage No. 2 from MT to ET												
CC.21	16-Apr-03	16-Apr-03	ENG-03-066	23-Apr-03	51.1e	LC-66	16-Apr-03	ENG-03-066	Request for Approval of Additional Cross Passage No. 2 and Temporary MT-VA Connection Tunnel	CR-35	Daily Progress Report & Day Diagram		
CC.21					52.1	LC-67	07-May-03	ENG-03-087	Request for Approval of Working Drawings - Support System for TCP2				
CC.21						LC-68	07-May-03	ENG-03-088	Request for Approval of Working Drawings Support System for Temporary Cross Passage No. 2				
CC.21						LC-69	17-Apr-03	PKIA-047-03	TCP2 and TCT from VA-MT				
CC.21						LC-70	09-May-03	PKIA-060-03	TCP2 and TCT from VA-MT				
CC.22	Provision of Temporary Connection Tunnel from VA to MT												

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CC.22	23-Apr-03	16-Apr-03	ENG-03-066	26-May-03	51.1e	LC-71	16-Apr-03	ENG-03-066	Request for Approval of Additional Cross Passage No. 2 and Temporary MT-VA Connection Tunnel	CR-36	Daily Progress Report & Day Diagram		
CC.22					52.1	LC-72	07-May-03	ENG-03-088	Request for Approval of Working Drawings Support System for Temporary Connection Tunnel				
CC.22						LC-73	17-Apr-03	PKIA-047-03	TCP2 and TCT from VA-MT				
CC.22						LC-74	09-May-03	PKIA-060-03	TCP2 and TCT from VA-MT				
<b>CC.23</b>	<b>Power Authority Power Failures</b>												
CC.23	01-Sep-01	05-Sep-02	ENG-02-166	15-Oct-03	44.1e	LC-75	05-Sep-02	ENG-02-166	Request for Joint Inspection of Public Electrical Power Monitoring System	CR-37	Electricity Power Supply Report	DS-13	Voltage Monitoring Graph
CC.23					52.1	LC-76	11-Mar-03	ENG-03-034	Public Electrical Power Supply Issue	CR-38	Record of Public Electrical Power Failure	DS-14	Photographs
CC.23						LC-77	17-Mar-03	ENG-03-043	Notes on PC3 Customer's Congress 2003				
<b>CC.24</b>	<b>Non-Contributing Production Resources Affected by Delay</b>												
CC.24	14-May-01	12-Feb-04	ENG-04-027	30-Nov-03	51.1c					CR-39	Daily Progress Report & Day Diagram		
CC.24					51.1e								
CC.24					51.1f								
CC.24					52.1								
<b>CC.25</b>	<b>Consolidated EOT Request and Time-Related Cost of Delay</b>												
CC.25	01-Oct-00	12-Feb-04	ENG-04-027	30-Nov-03	44.1	LC-78	10-May-01	PKIA-143-01	Claim for Construction Delay	CR-40	Monthly Manpower Report		
CC.25						LC-79	27-Sep-01	ENG-01-331	Request for EOT for Completion of the Works in Connection with the Initial Stage of Tunnel Excavation				
CC.25						LC-80	06-Nov-02	PKIA-138-02	Extension of Time Claim				
CC.25						LC-81	11-Nov-02	ENG-02-200	Reasonable Extension of Time for Completion				
CC.25						LC-82	03-Dec-02	PMU-304-01	Comments on Package IA Contractor's Report on Time Extension				
CC.25						LC-83			DELETED				
CC.25						LC-84	15-Dec-02	PKIA-165-02	Joint Meeting for EOT and Work Program				
CC.25						LC-85	26-Dec-02	PMU-409-02	Consultant's Comments on Work Progress of PKIA Contractor				
CC.25						LC-86	31-Dec-02	ENG-02-227	Consultant's Comments on Work Progress of PKIA Contractor				
CC.25						LC-87	03-Mar-03	PKIA-024-03	PKIA Extension of Time Claim				
CC.25						LC-88	10-Apr-03	ENG-03-061	Extension of Time for PKIA				
<b>C4</b>	<b>Variation Issues not Relevant to Other Issue Category</b>												
<b>CC.26</b>	<b>Box-out Forms for Notches in Concrete Lining</b>												
CC.26	04-Feb-02	12-Feb-04	ENG-04-027	31-Mar-04	51.1e	LC-89	29-Mar-02	PKIA-044-02	Notch in Concrete Lining for Jet Fans			DS-15	Contract Drawings P1-TN-391 and P1-TN-396
CC.26					52.1	LC-90	08-May-02	PKIA-059-02	Notch in Concrete Lining for Ventilation Control System			DS-16	Superceded Drawings P1-TN-390R1, P1-TN-390R2, P1-TN-395R1 and P1-TN-395R2
CC.26												DS-17	Revised Drawings P1-TN-390R3, P1-TN-391R1, P1-TN-395R3, P1-TN-396R1, 396R2 and 396 R3
<b>CC.27</b>	<b>Provision of Water Treatment Plant</b>												
CC.27	06-Dec-01	12-Feb-04	ENG-04-027	on-going	51.1e	LC-91	21-Oct-00	ENG-00-016	Breakdown of Lump Sum Items	CR-41	Report for Action in Wastewater Treatment Plant	DS-18	Sub-Contract Agreement Between Hazama-Cienco 6 JV and Hanoi University of Civil Engineering - Center for
CC.27					52.1	LC-92	13-Nov-00	ENG-00-035	Environment Control Plan				
CC.27						LC-93	04-Jan-01	PMU-08-02	Draft Minutes of Environmental protection Plan Meeting	CR-42	Details of Chemicals used for Wastewater		

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CC.27						LC-94	12-Jan-01	HVCR-005-01	Environment Control at the Construction Sites	CR-42	Treatment Plant		Foundation and Construction Engineering - Da Nang Branch
CC.27						LC-95	12-Feb-01	ENG-01-060	Wastewater Treatment Plant				
CC.27						LC-96	14-Mar-01	PKIA-069-01	Wastewater Treatment Plant			DS-19	Photographs
CC.27						LC-97	26-Mar-01	HVCR-019-01	Environment Control at the Construction Sites			DS-20	Invoices of Chemicals
CC.27						LC-98	06-Jul-01	ENG-01-258	Request for Approval on Environmental Protection Plan			DS-21	Temporary Facility Layout Plan (Main Tunnel)
CC.27						LC-99	18-Jul-01	PKIA-217-01	Approval of Environmental Protection Plan				
CC.27						LC-100			DELETED			DS-22	Temporary Facility Layout Plan (Ventilation Adit Portal)
CC.27						LC-101	24-Dec-01	PKIA-301-01	Environmental Protection Works				
CC.27						LC-102	02-Jan-02	PKIA-001-02	Meeting on Environmental and Traffic Control Plan			DS-23	Water Treatment Plan North Portal
CC.27						LC-103	29-Jan-02	PKIA-020-02	Environment Issues				
CC.27						LC-104	01-Feb-02	PKIA-023-02	Environment Issues				
CC.28	Ventilation System at EP Tunnels 1 and 2												
CC.28	16-Jan-03	12-Feb-04	ENG-04-027	30-Nov-03	51.1e								
CC.28					52.1								
CC.29	Lighting System at EP Tunnels 1 and 2												
CC.29	16-Jan-03	12-Feb-04	ENG-04-027	30-Nov-03	51.1e								
CC.29					52.1								
CC.30	Steel Form for Concrete Lining of Permanent Cross Passages												
CC.30	20-May-02	12-Feb-04	ENG-04-027	26-Mar-04	51.1a	LC-105	21-May-02	ENG-02-089	Request for Approval of Shop Drawing - Steel Form of Cross Passages			DS-24	Invoice of the Cross Passage Steel Form
CC.30					52.1	LC-106	27-May-02	PKIA-067-02	Steel Form at Cross Passage			DS-25	Delivery Receipt of the Cross Passage Steel Form
CC.30						LC-107	01-Jun-02	ENG-02-094	Drawing Submission for Stamp - Steel Form at Cross Passages			DS-26	Photographs
CC.30						LC-108	03-Jun-02	PKIA-069-02	Concrete Lining in Cross Passages			DS-27	Shop Drawing
CC.30						LC-109	05-Jun-02	ENG-02-096	Concrete Lining in Cross Passages				
CC.30						LC-110	06-Nov-02	PKIA-137-02	Concrete Lining in Cross Passages				
CC.30						LC-111	11-Nov-02	ENG-02-199	Partial Omission of Concrete Lining in Cross Passages				
CC.30						LC-112	10-Dec-02	PKIA-162-02	Partial Omission of Concrete Lining in Cross Passages				
CC.31	Reduction in Measured Works												
CC.31	01-Oct-00	12-Feb-04	ENG-04-027	on-going	51.1a					CR-43	Forecasted Amount to Complete		
CC.31					52.1					CR-44	Monthly Statement		
CC.32	Redundant Permanent Materials												
CC.32	14-May-01	12-Feb-04	ENG-04-027	on-going	51.1a					CR-45	Materials Inventory	DS-28	Photographs
CC.32					52.1					CR-46	Materials on Site Summary		
CC.33	Exchange Rate Impact on Imported Materials												
CC.33	01-Oct-00	12-Feb-04	ENG-04-027	on-going	72.4					CR-47	Summary of Monthly Purchase of Blasting Materials	DS-29	Invoice of Blasting Materials
CC.34	Slope Length Method of Measurement												
CC.34	14-May-01	12-Feb-04	ENG-04-027	30-Nov-03	Section 02330, Item 8								
CC.35	Provision of Temporary Cross Passage No. 1												
CC.35	15-Nov-02	15-Nov-02	ENG-02-203	22-Nov-02	51.1e	LC-113	15-Nov-02	ENG-02-203	Request for Approval of Additional Cross Passage	CR-48	Daily Progress Report & Day Diagram		

# Request for Compensation

In accordance with GCC Clause 53.2 and 53.3 <sup>7</sup>

Date of Event Occurred, Claim Notice Date, Date of Event Effect Ended, Applicable Contract Clause, List of Correspondences, List of Contemporary Records and list of Related Documents for Substantiation

Claim Code No.	Date of Event Occurred	Notice of Claim		Date of Event Effect Ended	Applicable FIDIC Clause	List of Correspondences				List of Contemporary Records		List of Related Documents for Substantiation	
		Date	Ref. No.			LC No.	Date	Ref. No.	Subject	CR No.	Description	DS No.	Description
CC-35					52.1	LC-114	16-Nov-02	PKIA-147-02	Working Drawings of Temporary Cross Passage				
<b>CC-36</b>	<b>Removal of Boulders at North &amp; Adit Portal and Adit Access Road</b>												
CC-36	16-Dec-00	12-Feb-04	ENG-04-027	15-Jun-01	51.1e					CR-49	Explosion Report	DS-30	Monthly Progress Payment to CIENCO 621
CC-36					52.1					CR-50	Joint Site Measurement of Boulder Quantities	DS-31	Certificate for Boulder Quantity for Measurement and Payment
CC-36												DS-32	Photographs
<b>CC-37</b>	<b>Price Adjustment of Blasting Materials</b>												
CC-37	17-Sep-01	17-Dec-02	ENG-02-217	10-Feb-04	70.8	LC-115	17-Dec-02	ENG-02-217	Request for Adjustment on Price Change of Explosive Material			DS-33	Invoice of Blasting Materials
CC-37						LC-116	20-Dec-02	PKALL-034-02	Price Adjustment of Explosive Material				
CC-37						LC-117	14-Jan-03	ENG-03-011	Adjustment Method of Explosive Material				
<b>CC-38</b>	<b>Temporary Gravel Bedding at Portal &amp; Tunnel Road Maintenance</b>												
CC-38	14-May-01	12-Feb-04	ENG-04-027	on-going	51.1e							DS-34	Photographs
CC-38					52.1								
<b>CC-39</b>	<b>Extended Working Time (OT Premium)</b>												
CC-39	04-Feb-02	25-Jan-03	ENG-03-011	on-going	51.1f	LC-118	31-Jul-01	ENG-01-295	Day and Night Shift Schedule & Organizational Chart				
CC-39					52.1	LC-119	28-Nov-02	PKIA-153-02	Tunnel Construction Schedule				
CC-39						LC-120	25-Jan-03	ENG-03-011	Countermeasures to Accelerate the Critical Works				
<b>C5</b>	<b>Issues Necessary for Acceleration of Project</b>												
					51.1f	LC-121	26-Jul-01	PKIA-221-01	Record of Joint Meeting - Availability of Night Shift at Package IA				
					52.1	LC-122	21-Dec-01	PKIA-300-01	Plan for Recovering Delay of Construction Works				
						LC-123	26-Dec-01	ENG-01-384	Proposals on How to Recover Delay				
						LC-124	27-Dec-01	PMU-329-01	Submission of Detailed Explanaton on the Delay of Works by PKIA Contractor				
						LC-125	08-Jan-02	PMU-014-02	Package IA Contractor's Proposal on How to Recover the Delay of the Tunnel Work				
						LC-126	16-Sep-02	PKIA-111-02	Progress Delay Recovery Measures				
						LC-127	19-Sep-02	PKIA-116-02	Construction Recovery Schedule				
						LC-128	23-Oct-02	PKIA-129-02	Progress Delay/Recovery Schedule				
						LC-129	30-Oct-02	PKIA-133-02	Rate of Progress				
						LC-130	04-Jan-03	PKIA-001-03	Work Progress of PKIA Contractor				
						LC-131	04-Jan-03	PKIA-003-03	Request of Mitigation Program				
						LC-132	25-Jan-03	ENG-03-011	Countermeasures to Accelerate the Critical Works				
						LC-133	24-Feb-03	PKIA-020-03	Revised Construction Schedule				
						LC-134	05-Mar-03	PKIA-025-03	Tunnel Excavation Progress - February 2003				
						LC-135	07-Mar-03	PKIA-027-03	Joint Meeting for Revision of Work Program				
						LC-136	13-Mar-03	PKIA-030-03	Clarification Regarding Delay and Mitigation				
						LC-137	07-Apr-03	PKIA-041-03	Construction Schedule for Coordination				
						LC-138	18-Apr-03	ENG-03-069	Acceleration Measures				
						LC-139	17-May-03	ENG-03-104	Countermeasures to Accelerate the Critical Works				
						LC-140	22-May-03	PKIA-071-03	Recovery of Delay to ET Excavation				

# Request for Compensation

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Claim Code No.	Date of Event Occurred	Notice of Claim		Date of Event Effect Ended	Applicable FIDIC Clause	List of Correspondences				List of Contemporary Records		List of Related Documents for Substantiation	
		Date	Ref. No.			LC No.	Date	Ref. No.	Subject	CR No.	Description	DS No.	Description
						LC-141	27-May-03	PKIA-073-03	Countermeasures to Accelerate the Critical Works				
						LC-142	13-Jun-03	PKIA-083-03	Delay Recovery Measures				
						LC-143	19-Jun-03	ENG-03-134	Delay Recovery Measures				
						LC-144	28-Jun-03	ENG-03-137	Delay Recovery Measures				
						LC-145	05-Sep-03	PKIA-122-03	Tunnel Excavation Progress (from South)				
						LC-146	08-Oct-03	ENG-03-229	Request for Approval on Top Heading Excavation of MT for Breakthrough on Oct. 2003				
						LC-147	09-Oct-03	PKIA-137-03	Main Tunnel Excavation				
<b>CC.40</b>	<b>Provision of Additional 2-Boom Jumbo Drill at Workface (Double Jumbo Operation)</b>												
CC.40	22-Mar-02	26-Dec-01	ENG-01-384	08-Oct-03	51.1f	LC-148	26-Dec-01	ENG-01-384	Proposal on How to Recover the Delay			DS-35	Photographs
CC.40					52.1							DS-36	March 2002 Monthly Meeting "Others"
<b>CC.41</b>	<b>Provision of Additional 3 units of 14' Guide Cells</b>												
CC.41	17-Apr-03	18-Apr-03	ENG-03-069	30-Nov-03	51.1f	LC-149	18-Apr-03	ENG-03-069	Acceleration Measures			DS-37	P.O., Custom, Insurance, Air Freight Documents
CC.41					52.1								
<b>CC.42</b>	<b>Provision of Temporary Stockpiling Area Inside Main Tunnel</b>												
CC.42	29-Mar-03	26-Dec-01	ENG-01-384	30-Nov-03	51.1f	LC-150	26-Dec-01	ENG-01-384	Proposal on How to Recover the Delay			DS-38	Photographs
CC.42					52.1								
<b>CC.43</b>	<b>Provision of 2nd Workface from South End by Song Da Corp.</b>												
CC.43	01-Jul-03	01-Jul-03	ENG-03-138	30-Nov-03	51.1f	LC-151	01-Jul-03	ENG-03-138	Sub-Contract Works			DS-39	Sub-Contract Agreement
CC.43					52.1	LC-152	01-Jul-03	PKIA-091-03	Confirmation of Request for Sanction to Sub-Contract			DS-40	Payment Certificate
CC.43						LC-153	19-Jul-03	ENG-03-163	Submission of Document for Confirmation of Sub-Contract				
<b>CC.44</b>	<b>Provision of Additional 2-Boom Jumbo Drill (5th Jumbo on Site)</b>												
CC.44	01-Aug-03	02-Aug-03	ENG-03-172	30-Nov-03	51.1f	LC-154	02-Aug-03	ENG-03-172	Arrival of 2-Boom, 1 Basket-Type Tunnel Jumbo Drill Machine			DS-41	Photographs
CC.44					52.1							DS-42	P.O., Custom, Insurance, Air Freight Documents
<b>CC.45</b>	<b>Provision of 2 units Excavator for Tunnel Drainage</b>												
CC.45	23-Dec-02	23-Dec-02	ENG-02-221	30-Apr-04	51.1f	LC-155	23-Dec-02	ENG-02-221	Report on the Arrival of Two (2) Hydraulic Excavators			DS-43	Photographs
CC.45					52.1							DS-44	P.O., Custom, Insurance, Air Freight Documents
<b>CC.46</b>	<b>Early Start of Tunnel Drainage Works</b>												
CC.46	31-May-03	25-Jan-03	ENG-03-011	30-Apr-04	51.1f	LC-156	25-Jan-03	ENG-03-011	Submission of Revised Construction Schedule and Countermeasures to Accelerate the Critical Works	CR-51	Daily Progress Report & Day Diagram		
CC.46					52.1								
<b>CC.47</b>	<b>Provision of Additional Tunnel Supervisors</b>												
CC.47	17-Feb-03	17-Feb-03	ENG-03-025	30-Jun-04	51.1f	LC-157	17-Feb-03	ENG-03-025	Appointment of New Supervisor to Accelerate Tunnel Rear Works				
CC.47	14-May-03	14-May-03	ENG-03-095A	30-Jun-04	52.1	LC-158	14-May-03	ENG-03-095A	Appointment of New Supervisor to Ventilation Adit Works				
CC.47	17-May-03	17-May-03	ENG-03-102	30-Jun-04		LC-159	17-May-03	ENG-03-102	Appointment of New Construction Equipment Supervisor to Accelerate the Critical Works				
<b>CC.48</b>	<b>Provision of Temporary Cross Passages (TCP3 and TCP4)</b>												



## Request for Compensation

In accordance with GCC Clause 53.2 and 53.3 <sup>9</sup>

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Claim Code No.	Date of Event Occurred	Notice of Claim		Date of Event Effect Ended	Applicable FIDIC Clause	List of Correspondences				List of Contemporary Records		List of Related Documents for Substantiation	
		Date	Ref. No.			LC No.	Date	Ref. No.	Subject	CR No.	Description	DS No.	Description
CC.48	07-Jun-03	06-Jun-03	ENG-03-123	13-Jun-03	51.1f	LC-160	06-Jun-03	ENG-03-123	Request for Engineer's Approval for TCP3 to Accelerate ET Excavation	CR-52	Daily Progress Report & Day Diagram		
CC.48	02-Sep-03	01-Sep-03	ENG-03-200	09-Sep-03	52.1	LC-161	06-Jun-03	PKIA-078-03	Temporary Cross Passage				
CC.48						LC-162	01-Sep-03	ENG-03-200	Request for Approval of Working Drawings - Support System for TCP4				
CC.48						LC-163	02-Sep-03	PKIA-121-03	Working Drawings of TCP4				
<b>CC.49</b>	<b>Provision of Additional Transformer</b>												
CC.49	07-Jun-03	07-Jun-03	ENG-03-126	on-going	51.1f	LC-164	07-Jun-03	ENG-03-126	Electrical Facility Reinforcement for Acceleration of Works			DS-45	Photographs
CC.49					52.1							DS-46	Purchase Order (P.O. No. HVP-ME-058, 11 Apr-03)